

**Rating Tool for Implementation of the System of Care Approach for Children, Youth, and Young Adults with Behavioral Health Challenges and Their Families**

**Community-Level Report**

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| STATE, TRIBE, OR TERRITORY: |  | DATE: |
| COMMUNITY OR REGION #: |  |  |
| COMMUNITY OR REGION NAME: |  |  |
| LEAD CONTACT PERSON: |  |  |
| Name: | Title: |  |
| Organization: | Department: |  |
| Daytime Phone Number:  **SUMMARY REPORT** | Email: |  |

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| **TOTAL SCORE** | **Score** | **%** |
| Strategic Plan Score (Max = 4) |  |  |
| Principles Score (Max = 152) |  |  |
| Services Score (Max = 136) |  |  |
| Infrastructure Score (Max = 48) |  |  |
| Commitment Score (Max = 60) |  |  |
| **Total Score** (Max = 400) |  |  |

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| **LEVEL OF IMPLEMENTATION RATING** | **Score** | **%** |
| Level I: No Implementation (0) (0%) |  |  |
| Level II: Some Implementation (1–100) (1%–25%) |  |  |
| Level III: Moderate Implementation (101–200) (26%–50%) |  |  |
| Level IV: Substantial Implementation (201–300) (51%–75%) |  |  |
| Level V: Extensive Implementation (301–400) (76%–100%) |  |  |

**DETAILED REPORT**

**I. Plan for the System of Care Approach**

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| **PLAN FOR THE SYSTEM OF CARE APPROACH** | **Use of a Strategic Plan for Systems of Care** | | | | | |  | |
| 0 = No plan exists | 1 = Plan is under development | 2 = Plan exists but is not used | 3 = Plan exists but is rarely used to guide implementation | 4 = Formal written plan is used extensively to guide implementation | Don’t know/Not applicable/ No plan exist | | **SCORE** | |
| Existence of a Strategic Plan for System of Care Implementation and Operation | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| **Score: Development and Use of a Strategic Plan (Max = 4)** |  |  |  |  |  |  | |  | |

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| **Score: Strategic Plan and Plan Components** | **#** | **%** |
| No plan exists = 0% Plan is under development = 1 – 25%  Plan exists but is not used = 26 – 50%  Plan exists but is rarely used to guide implementation = 51 – 75%  Formal written plan is used extensively to guide implementation = 76 – 100%  **MAX = 4** |  |  |

**II. Service Delivery Guided by System of Care Values and Principles**

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| **CORE VALUES AND PRINCIPLES** | **Implementation of System**  **of Care Principles During the Past 12 Months** | | | | | | **Score** |
| 0 = Not at all implemented | 1 = Somewhat implemented | 2 = Moderately implemented | 3 = substantially implemented | 4 = Extensively implemented | Don’t know |
| **Individualized, Wraparound Approach to Service Planning and Delivery** | | | | | | | |
| Individualized child and family teams are used (including family, youth, providers, etc.) to develop and implement a tailored service plan | 0 | 1 | 2 | 3 | 4 | DK |  |
| Individualized assessments of child and family strengths and needs are used to plan services and supports | 0 | 1 | 2 | 3 | 4 | DK |  |
| Individualized, tailored service plans are developed and implemented for each child and family that address multiple life domains and are revised based on progress | 0 | 1 | 2 | 3 | 4 | DK |  |
| Services include informal and natural supports in addition to treatment | 0 | 1 | 2 | 3 | 4 | DK |  |
| Flexible funds are available to meet child and family needs not financed by other sources | 0 | 1 | 2 | 3 | 4 | DK |  |
| **Score: Individualized (Max = 20)** |  |  |  |  |  |  |  |

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| **CORE VALUES AND PRINCIPLES** | **Implementation of System**  **of Care Principles During the Past 12 Months** | | | | | |  | |
| 0 = Not at all implemented | 1 = Somewhat implemented | 2 = Moderately implemented | 3 = substantially implemented | 4 = Extensively implemented | Don’t know | | **SCORE** | |
| **Family-Driven Approach** | | | | | | | | |
| Families have a primary decision-making role in service planning and delivery | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Family strengths are incorporated in service planning and delivery | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Families have a choice of services and supports | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Families have access to peer support | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| A family organization exists and supports family involvement at the system and service delivery levels | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| **Score: Family Driven (Max = 20)** |  |  |  |  |  |  | |  | |
| **Youth-Guided Approach** | | | | | | | | |
| Youth are active partners in service planning and delivery | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Youth strengths and interests are incorporated in service planning and delivery | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Youth have a choice of services and supports | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Youth have access to peer support | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| A youth organization exists and supports youth involvement at the system and service delivery levels | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| **Score: Youth Guided (Max = 20)** |  |  |  |  |  |  | |  | |
| **Coordinated Approach** | | | | | | | | |
| Intensive/targeted care management with a dedicated care manager is provided to high-need youth and families | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Basic service coordination is provided for children and families at lower levels of service intensity | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Care is coordinated across multiple child-serving agencies and systems | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| One overall plan of care is created across child-serving agencies and systems (there may be more detailed plans for individual systems as part of the overall plan) | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| **Score: Coordinated Approach (Max = 16)** |  |  |  |  |  |  | |  | |
| **Culturally and Linguistically Competent Approach** | | | | | | | | |
| Culture-specific services and supports are provided | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Services and supports are adapted to ensure access and effectiveness for culturally diverse populations | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Providers represent the cultural and linguistic characteristics of the population served | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Providers are trained in cultural and linguistic competence | 0 | 1 | 2 | 3 | 4 | DK | |  | |

National Technical Assistance Center for Children’s Mental Health, Georgetown University Center for Child and Human Development

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| **CORE VALUES AND PRINCIPLES** | **Implementation of System**  **of Care Principles During the Past 12 Months** | | | | | |  | |
| 0 = Not at all implemented | 1 = Somewhat implemented | 2 = Moderately implemented | 3 = substantially implemented | 4 = Extensively implemented | Don’t know | | **SCORE** | |
| Specific strategies are used to reduce racial and ethnic disparities in access to and outcomes of services | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| **Score: Cultural and Linguistic Competence (Max = 20)** |  |  |  |  |  |  | |  | |
| **Evidence-Informed and Promising Practices and Practice-Based Evidence Approaches** | | | | | | | | |
| Evidence-informed practices are implemented within the array of services and supports to improve outcomes | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Providers are trained in specific evidence-informed practices and/or evidence-informed practice components | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Best practice guidelines, clinical protocols, and manuals are provided to practitioners | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Fidelity to evidence-informed practices and outcomes are measured | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| **Score: Evidence-Informed (Max = 16)** |  |  |  |  |  |  | |  | |
| **Least Restrictive Approach** | | | | | | | | |
| Utilization of home- and community-based services is increased | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| The number of children who are served in settings more restrictive than necessary is reduced | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Utilization of inpatient hospital services is decreased and it is primarily used for short- term, acute treatment and stabilization when necessary and appropriate | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Utilization of residential treatment is decreased and it is primarily used for short-term lengths of stay to achieve specific treatment goals when necessary and appropriate | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| **Score: Least Restrictive (Max = 16)** |  |  |  |  |  |  | |  | |
| **Service Array** | | | | | | | | |
| Broad array of home- and community-based services and supports is available | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Includes or is linked with early identification and intervention activities to identify behavioral health problems earlier | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Includes developmentally appropriate services for young children and their families | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Includes developmentally appropriate services for youth and young adults in transition to adulthood | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| **Score: Service Array (Max = 16)** |  |  |  |  |  |  | |  | |
| **Data and Accountability** | | | | | | | | |
| Data are collected regularly and are used to improve system quality and outcomes | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Electronic health records exist | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| **Score: Data and Accountability (Max = 8)** |  |  |  |  |  |  | |  | |

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| **Score: System of Care Principles** | **#** | **%** |
| Not at all implemented = 0%  Somewhat implemented = 1-25%  Moderately implemented = 26-50%  Substantially implemented = 51 -75%  Extensively implemented = 76-100%  **MAX = 152** |  |  |

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| **Score: Individual System of Care Principles** | **#** | **%** |
| Score: Individualized (Max = 20) |  |  |
| Score: Family Driven (Max = 20) |  |  |
| Score: Youth Guided (Max = 20) |  |  |
| Score: Coordinated Approach (Max = 16) |  |  |
| Score: Cultural and Linguistic Competence (Max = 20) |  |  |
| Score: Evidence-Informed (Max = 16) |  |  |
| Score: Least Restrictive (Max = 16) |  |  |
| Score: Service Array (Max = 16) |  |  |
| Score: Data and Accountability (Max = 8) |  |  |

**III. Services and Supports Based on the System of Care Approach**

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| **SERVICES AND SUPPORTS** | **Availability During the**  **Past 12 Months** | | | | | | **Score** |
| 0 = Not at all available | 1 = Somewhat available | 2 = Moderately available | 3 = substantially available | 4 = Extensively available | Don’t know |
| **Home- and Community-Based Treatment and Support Services (Nonresidential)** | | | | | | | |
| Screening for behavioral health needs (e.g., in early care, education, primary care, child welfare, and juvenile justice settings) | 0 | 1 | 2 | 3 | 4 | DK |  |
| Assessment and evaluation | 0 | 1 | 2 | 3 | 4 | DK |  |
| Individualized service planning (e.g., wraparound process) | 0 | 1 | 2 | 3 | 4 | DK |  |
| Intensive care management | 0 | 1 | 2 | 3 | 4 | DK |  |
| Care coordination for youth at lower levels of service intensity | 0 | 1 | 2 | 3 | 4 | DK |  |
| Outpatient individual therapy | 0 | 1 | 2 | 3 | 4 | DK |  |
| Outpatient group therapy | 0 | 1 | 2 | 3 | 4 | DK |  |
| Outpatient family therapy | 0 | 1 | 2 | 3 | 4 | DK |  |
| Medication treatment/management | 0 | 1 | 2 | 3 | 4 | DK |  |
| Crisis response services (non-mobile) (24 hours, 7 days) | 0 | 1 | 2 | 3 | 4 | DK |  |
| Mobile crisis and stabilization services (24 hours, 7 days) | 0 | 1 | 2 | 3 | 4 | DK |  |
| Intensive home-based services | 0 | 1 | 2 | 3 | 4 | DK |  |
| School-based behavioral health services | 0 | 1 | 2 | 3 | 4 | DK |  |

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| **SERVICES AND SUPPORTS** | **Availability During the**  **Past 12 Months** | | | | | |  | |
| 0 = Not at all available | 1 = Somewhat available | 2 = Moderately available | 3 = substantially available | 4 = Extensively available | Don’t know | | **SCORE** | |
| Day treatment | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Substance use treatment | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Therapeutic behavioral aide services | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Behavior management skills training | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Tele-behavioral health services | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Youth peer support | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Family peer support | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Youth and family education | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Respite services | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Therapeutic mentoring | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Mental health consultation | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Supported education and employment | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Supported independent living | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Transportation | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| **Score: Home- and Community-Based**  **Treatment and Support Services (Max = 108)** |  |  |  |  |  |  | |  | |
| **Out-of-Home Treatment Services for Short-Term Treatment Goals That are Linked to Home- and Community-Based Services and Supports** | 0 = Not at all available | 1 = Available but not used appropriately and not linked | 2 = Available, somewhat used appropriately and somewhat linked | 3 = Available, moderately used appropriately and moderately linked | 4 = Available, mostly used appropriately and mostly linked | Don’t know | | **Score** | |
| Therapeutic foster care | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Therapeutic group home care | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Crisis stabilization beds | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Medical detoxification | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Substance use residential treatment | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Residential treatment | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| Inpatient hospitalization | 0 | 1 | 2 | 3 | 4 | DK | |  | |
| **Score: Out-of-Home Treatment Services (Max = 28)** |  |  |  |  |  |  | |  | |

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| **Score: Services** | **#** | **%** |
| Not at all available = 0%  Somewhat available = 1-25%  Moderately available = 26-50%  Substantially available = 51 -75%  Extensively available = 76-100%  **MAX = 136** |  |  |

**IV. System Infrastructure Based on System of Care Approach**

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| **INFRASTRUCTURE COMPONENTS** | **Implementation During the Past 12 Months** | | | | | | | **Score** |
| 0 = Not at all implemented | 1 = Somewhat implemented | 2 = Moderately implemented | 3 = substantially implemented | 4 = Extensively implemented | Don’t know | |
| Point of Accountability Structure for System of Care Management and Oversight | 0 | 1 | 2 | 3 | 4 | DK | |  |
| Financing for System of Care Infrastructure and Services | 0 | 1 | 2 | 3 | 4 | DK | |  |
| Structure and/or Process to Manage Care and Costs for High-Need Populations (e.g., Care Management Entities) | 0 | 1 | 2 | 3 | 4 | DK | |  |
| Interagency Partnerships/Agreements | 0 | 1 | 2 | 3 | 4 | DK | |  |
| Structure and/or Process for Partnerships with Family Organization and Family Leaders | 0 | 1 | 2 | 3 | 4 | DK | |  |
| Structure and/or Process for Partnerships with Youth Organization and Youth Leaders | 0 | 1 | 2 | 3 | 4 | DK | |  |
| Defined Access/Entry Points to Care | 0 | 1 | 2 | 3 | 4 | DK | |  |
| Extensive Provider Network to Provide Comprehensive Array of Services | 0 | 1 | 2 | 3 | 4 | DK | |  |
| Structure and/or Process for Training, TA, and Workforce Development | 0 | 1 | 2 | 3 | 4 | DK | |  |
| Structure and/or Process for Measuring and Monitoring Quality, Outcomes, and Costs (including IT system) and for Using Data for Continuous Quality Improvement | 0 | 1 | 2 | 3 | 4 | DK | |  |
| Structure and/or Process for Strategic Communications/Social Marketing | 0 | 1 | 2 | 3 | 4 | DK | |  |
| Structure and/or Process for Strategic Planning and Identifying and Resolving Barriers | 0 | 1 | 2 | 3 | 4 | DK | |  |
| **Score: Infrastructure** | | | | **#** | | | **%** | |
| Not at all implemented = 0%  Somewhat implemented = 1-25%  Moderately implemented = 26-50%  Substantially implemented = 51 -75%  Extensively implemented = 76-100%  **MAX = 48** | | | |  | | |  | |

**V. Commitment to the System of Care Philosophy and Approach**

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|  | **Extent of Commitment During the**  **Past 12 Months** | | | | | | **Score** |
| **GROUP** | 0 = Not at all committed | 1= Somewhat committed | 2 = Moderately committed | 3 = Substantially committed | 4 = Extensively committed | Don’t know |
| **Child-Serving Systems** | | | | | | | |
| Mental Health System | 0 | 1 | 2 | 3 | 4 | DK |  |
| Child Welfare System | 0 | 1 | 2 | 3 | 4 | DK |  |
| Juvenile Justice System | 0 | 1 | 2 | 3 | 4 | DK |  |
| Education System | 0 | 1 | 2 | 3 | 4 | DK |  |
| Health System | 0 | 1 | 2 | 3 | 4 | DK |  |
| Substance Use Treatment System | 0 | 1 | 2 | 3 | 4 | DK |  |
| Courts/Judiciary System | 0 | 1 | 2 | 3 | 4 | DK |  |
| Medicaid | 0 | 1 | 2 | 3 | 4 | DK |  |
| **Policy and Decision Makers** | | | | | | | |
| High-Level Policy and Decision Makers at the Local Community or Regional Level | 0 | 1 | 2 | 3 | 4 | DK |  |
| **Providers** | | | | | | | |
| Provider Agency Administrators and Mid-Level Managers | 0 | 1 | 2 | 3 | 4 | DK |  |
| Direct Service Providers (Clinicians and Others) | 0 | 1 | 2 | 3 | 4 | DK |  |
| **Family and Youth Leaders** | | | | | | | |
| Family Leaders | 0 | 1 | 2 | 3 | 4 | DK |  |
| Youth Leaders | 0 | 1 | 2 | 3 | 4 | DK |  |
| **Managed Care Organizations** | | | | | | | |
| Behavioral Health Managed Care Organizations | 0 | 1 | 2 | 3 | 4 | DK |  |
| Managed Care Organizations Managing both Physical Health and Behavioral Health | 0 | 1 | 2 | 3 | 4 | DK |  |

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| **Score: Commitment** | **#** | **%** |
| Not at all committed = 0%  Somewhat committed = 1-25%  Moderately committed = 26-50%  Substantially committed = 51 -75%  Extensively committed = 76-100%  **MAX = 60** |  |  |

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| **OVERALL ASSESSMENT** | **Assessment** | | | | | | **Score** |
| 0 = Not at all | 1 = Somewhat | 2 = Moderately | 3 = Substantially | 4 = Extensively | Don’t know |
| To what extent do you believe that the system of care approach is being implemented in your community or region? | 0 | 1 | 2 | 3 | 4 | DK |  |